

12-27-00

12/22/00  
JC618 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 112025-0447

First Inventor Marco Di Benedetto et al.

Title UPLINKGUARD, APPARATUS AND METHOD FOR PREVENTING ONE WAY CONNECTIVITY LOOPS IN A COMPUTER NETWORK

Express Mail Label No. EL 705 755 245 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 25]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (*if filed*)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 9 ]

5. Oath or Declaration [Total Pages 3]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 18 completed)*  
 i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. §3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*

11.  English Translation Document (*if applicable*)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

Name	A. Sidney Johnston				
	24267				
	PATENT TRADEMARK OFFICE				
Address	Cesari and McKenna 88 Black Falcon Avenue				
City	Boston	State	MA	Zip Code	02210
Country	U. S. A.	Telephone	(617) 951-2500		Fax (617) 951-3927

Name (Print/Type)	A. Sidney Johnston	Registration No. (Attorney/Agent)	29,548
Signature			Date December 22, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$ 1,070)

## Complete If Known

Application Number	not yet assigned
Filing Date	not yet assigned
First Named Inventor	Marco Di Benedetto et al.
Examiner Name	not yet assigned
Group / Art Unit	not yet assigned
Attorney Docket No.	112025-0447

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 03-1237

Deposit Account Name Cesari and McKenna, LLP

Charge Any Additional Fee  Charge the Issue Fee Set in  
Required Under 37 C.F.R. §§1.18 at the Mailing of  
37 C.F.R. §§1.16 and 1.17 the Notice of Allowance

2.  Payment Enclosed:

Check  Money  Other Order

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	Fee Paid
Code (\$)	Code (\$)		
105	130	205	65 Fee Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English Specification
147	2,520	147	2,520 For filing a request for reexamination
112	920	112	920* Requesting publication of SIR prior to Examiner action
113	1,840	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	128	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility Issue fee (or reissue)
143	440	243	220 Design Issue fee
144	600	244	300 Plant Issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))
Other (specify)			
Other fee (specify)			
<b>SUBTOTAL (3) (\$)</b>			40

\*Reduced by Basic Filing Fee Paid

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	7	- 20** = 0 x 18 = 0	0
Independent Claims	7	- 3** = 4 x 80 = 320	320
Multiple Dependent		= 0	0

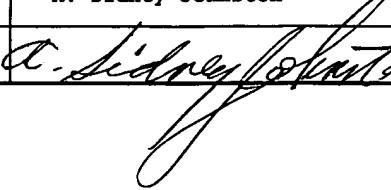
\*\*or number previously paid, if greater. For Reissues, see below

## Large Entity Small Entity

Fee Code	Fee Code	Fee Code	Fee Description
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple dependent claim, if not paid
109	80	209	40 **Reissue independent claims over original patent
110	18	210	9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>			320

## SUBMITTED BY

## Complete (if applicable)

Typed or Printed Name	A. Sidney Johnston	Reg. Number	29,548
Signature		Date	December 22, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
Marco Di Benedetto et al. )  
 )  
Serial No.: not yet assigned )  
 )  
Filed: not yet assigned )  
 )  
For: UPLINKGUARD, APPARATUS )  
AND METHOD FOR PREVENT- )  
ING ONE WAY CONNECTIVITY )  
LOOPS IN A COMPUTER NET- )  
WORK )

Examiner: not yet assigned

Art Unit: not yet assigned

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
December 22, 2000

**EXPRESS-MAIL DEPOSIT**

"Express Mail" Mailing-Label Number: EL 705 755 245 US

The following papers are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

<u><input checked="" type="checkbox"/></u> Patent Application ( 25 pages including 7 claims )	<u><input checked="" type="checkbox"/></u> Fee Transmittal Letter
<u><input checked="" type="checkbox"/></u> Oath or Declaration	<u><input checked="" type="checkbox"/></u> Assignment With Recordation Form
<u><input checked="" type="checkbox"/></u> Utility Patent Application Transmittal Letter	<u><input checked="" type="checkbox"/></u> Cover Sheet
<u><input checked="" type="checkbox"/></u> Informal Drawing ( 9 sheets )	<u><input checked="" type="checkbox"/></u> Check No. 21488 for \$40.00
<u><input checked="" type="checkbox"/></u> Check No. 21485 for \$1,030.00	<u><input checked="" type="checkbox"/></u> Utility Application Transmittal